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PTO/SB/21 (09-04) Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Inder the Paperwork Reduction Act of 1995, no persons are required to respond to flection of information unless it displays a valid OMB control number. Application Number 10/808,022 TRANSMITTAL Filing Date 03/23/2004 **FORM** First Named Inventor Takahiro Yoshimi Art Unit 2872 Examiner Name Unknown (to be used for all correspondence after initial filing) Attorney Docket Number CFA00064US Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)								
	Fee Trans	smittal Fo	orm		Drawing(s)			After Allowance Communication to TC
	∐ F∈	ee Attach	ed		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences
	Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53		Petition Petition to Convert to a Provisional Application Power of Altomey, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): -Return post card			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm Name Canon U. S. A., Inc. IP Division								
Signature								
Printed name John P. Keady								
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending alcase. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 4150, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Tina Truong

Typed or printed name

CFA00064US Patent Application



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Takahiro Yoshimi, et al.

Application No.: 10/808,022

Filed: 3/23/2004

For: OPTICAL TRANSMISSION

DEVICE

Examiner: Unknown

Art Unit: 2872

Confirmation No.: 5696

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 and § 1.98

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56 and in accordance with the practice under 37 C.F.R. § 1.97 and §1.98, the references cited on attached forms PTO/SB/08A are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(3)(ii), a copy of the Japanese documents with an abstract of a readily available translation of the Japanese references from Industrial Property Digital Library (IPDL) is being provided. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and reference cited is a prior art merely because it is in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

CFA00064US Patent Application

Applicants believe that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 502456. Please

deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

John P Keady

Date: GINLY 2005

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PTO/SB/08A (08-03)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Substitute for form 1449/PTO

Sheet 1

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	Complete if Known					
	Application Number	10/808,022				
	Filing Date	03/23/04				
	First Named Inventor	Takahiro Yoshimi				
	Art Unit	2872				
	Examiner Name	Unknown				
_	Attorney Docket Number	CFA00064US				

U. S. PATENT DOCUMENTS Examiner Cite Document Number Publication Date Name of Patentee or Pages, Columns, Lines, V						
Examiner Initials*	No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	^{US-} 5,627,669-A	05-06-1997	Orino; Kanjo		
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ (Kind Code ³ (if known)	MM-DD-YYYY			
	2	JP-5-133716-A	05-28-1993	Canon Inc.		
	3	JP-2001-094513-A	04-06-2001	Canon Inc.		V
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Signature	Co	onsidered	

*EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST3.) ⁵ Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST3.16 if possible. ⁸Applicant is to place a check mark here if English language Translation is attached.

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